



MEMORANDUM – 2025-023

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 01 AUGUST 2025

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2025.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
28-Jul-25	FABI	MERY KAYE R.	-	INTERN	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
25-Jul-25	OABEL	POLINA MAE A.	COMPLIANCE OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
22-Jul-25	PASCUAL	JILL SAMANTHA E.	-	APPRENTICE	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
21-Jul-25	MONTANO	ANGELICA E.	-	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Jul-25	CANALES	FREDA N.	ASSOCIATED PERSON- COMPLIANCE	ACCOUNTING/ALTERNATE ASSOCIATED PERSON	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
17-Jul-25	DELOS REYES	JOR-EL D.	MANAGEMENT TRAINEE	AUTHORIZED SALESMAN	YU AND COMPANY, INC.	RE-DESIGNATION
16-Jul-25	SAN DIEGO	RAQUEL G.	ACCOUNTING HEAD	-	BELSON SECURITIES, INC.	RESIGNATION (cleared)
16-Jul-25	GORORDO	MARIA KATRINA S.	-	INSTITUTIONAL SALES OFFICER	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Jul-25	SENORO	KATHERINE C.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Jul-25	BERNADAS	MARK RUSSEL S.	-	SETTLEMENT ASSOCIATE	GUILD SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-25	SALVADOR	AARON M.	-	SENIOR SALES MANAGER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-25	SANTOS	ARIELLE ANNE D.	EQUITY ANALYST	-	REGINA CAPITAL DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
16-Jul-25	SILVERIO	CHRISTIAN BENEDICT C.	-	PRODUCT RESEARCH AND DEVELOPMENT OFFICER	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
16-Jul-25	GUCON	ROMMEL C.	MESSENGER	CLERK	TRITON SECURITIES CORPORATION	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jul-25	LIM	FRANCES ANNE Y.	SENIOR MANAGER-SALESMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
15-Jul-25	LUNA	SOPHIA NICOLE N.	DIGITAL CHANNELS AND MARKETING ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
15-Jul-25	MENDOZA	WYNDELL A.	HEAD OF OPERATIONS	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)
15-Jul-25	ROSALES	JOHANN Y.	HEAD OF FINANCE	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)
14-Jul-25	LAHIP	LINNCON M.	-	EQUITY ANALYST	REGINA CAPITAL DEVELOPMENT CORPORATION	NEW EMPLOYMENT
12-Jul-25	GERNALE	RYAN KEVIN D.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
12-Jul-25	MAGMANLAC	ARIANE MAE A.	CUSTOMER SUPPORT SPECIALIST	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
12-Jul-25	GONZAGA	REYMART D.	FINANCE OFFICER	-	MAYBANK SECURITIES, INC.	RESIGNATION (clearance under process)
11-Jul-25	CHAN	KERWIN MALCOLM Y.	INSTITUTIONAL EQUITY SALES	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
10-Jul-25	SALOM	R JOHN CHRISTOPHER	ASSOCIATED PERSON / ACTING NOMINEE	ASSOCIATED PERSON	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
10-Jul-25	PE	NORMAN T.	PRESIDENT	PRESIDENT/ NOMINEE	INTRA-INVEST SECURITIES, INC.	RE-DESIGNATION
10-Jul-25	DIZON	ANNA CHRISTIE P.	CHIEF FINANCIAL OFFICER/LICENSED AP/ACTING	CHIEF FINANCIAL OFFICER/LICENSED AP	SALISBURY SECURITIES CORPORATION	RE-DESIGNATION
10-Jul-25	TECHICO	BENITO G.	DIRECTOR/CHAIRMAN/ PRESIDENT	DIRECTOR/CHAIRMAN/ PRESIDENT/ NOMINEE	SALISBURY SECURITIES CORPORATION	RE-DESIGNATION
8-Jul-25	PATRIMONIO	SYMONETTE LOIS S.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
7-Jul-25	DUQUE	JOCELYN S.	-	ACCOUNTING HEAD	BELSON SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
7-Jul-25	LORETO	JOHN ALLEN D.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	DE JESUS	DEXTER KYLE	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	HAPITAN	MIKAELA ARRIANE BYNNE T.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	CORTES	STEFI Y.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	HAYANO	HIKARI	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	BALAJADIA	MILES BRITTANY S.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	SOGI	JASHAN B.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	SALVADOR	CHRISTINE ANGELIQUE R.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	GO	EDREA BEATRIZ O.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	SAY	SAMANTHA ANNIKA T.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	WONG	JOHNLEX STEPHEN N.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	CO	JULIAN SANTIAGO R.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	MEDRANA	ERICO ANGELO P.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	AFZELIUS	KAIYAN JAMES L.	-	STUDENT INTERN	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
7-Jul-25	CUA	SAMUEL LIAM L.	-	EXECUTIVE VICE PRESIDENT	CUALOPING SECURITIES CORPORATION	NEW EMPLOYMENT

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7-Jul-25	MONZOD	MIZZY DENIZE E.	-	OPERATIONS JUNIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
5-Jul-25	GRAGASIN	SHELA MAY A.	CASH SETTLEMENT OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (clearance under process)
5-Jul-25	QUERIMIT	CHRYSTAL JUSTINE B.	CUSTOMER SUPPORT STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
4-Jul-25	TIN	JARROD LEIGHTON M.	RESEARCH ANALYST	SALESMAN	DRAGONFI SECURITIES, INC.	RE-DESIGNATION
2-Jul-25	VILLARUBIA	RAMON RABBINI P.	OPERATIONS OFFICER	-	BDO SECURITIES CORPORATION	RESIGNATION (clearance under process)
2-Jul-25	PAÑARES	BEN THOMAS P.	PRESIDENT AND CEO	DIRECTOR	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
2-Jul-25	CARANDANG	RAMON A.	INDEPENDENT DIRECTOR	DIRECTOR	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
2-Jul-25	FERNANDEZ	MARIA CONCEPCION Y.	FIRST VICE PRESIDENT, NOMINEE AND HEAD OF SALES	PRESIDENT AND NOMINEE/HEAD OF SALES	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	DELOS SANTOS	MARIE ANNE V.	ASST. MANAGER-RETAIL SALES	MANAGER-RETAIL SALES HEAD	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
1-Jul-25	LOMPERO	LUIRISH E.	-	ACCOUNTING ASSISTANT MANAGER	APEX (PHILIPPINES) EQUITIES CORPORATION	NEW EMPLOYMENT
1-Jul-25	COGAY	AISABEL O.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-25	YCO	KRISTINE DIANE M.	-	HEAD OF INSTITUTIONAL SALES	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-25	VILLANUEVA	RENEE CLAUDIA T.	HEAD, PRODUCTS AND PLATFORM	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jul-25	VIGO	JAN HENRI T.	CLIENT RELATIONS OFFICER	-	BPI SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jul-25	ESTEBAN	MELVIN J.	-	HEAD OF WEALTH MANAGEMENT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT

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1-Jul-25	SAMSON	JEWEL MICHELLE T.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Jul-25	CALIMLIM	RIZZA MAE R.	-	ACCOUNTING STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
1-Jul-25	GENTAPANAN	NEL VINCENT C.	CSR EQUITIES TRADER	SENIOR CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-Jul-25	BUNA	ELAINE CHRISTINE C.	-	JUNIOR ACCOUNTING SUPERVISOR	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-25	GIDO	GWEN MAY F.	-	BUSINESS DEVELOPMENT ASSOCIATE	LANDBANK SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-25	TADEO	ISABELLE BEATRICE A.	-	SALES TRADER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-25	CARDENAS	FERLIE MARIZ C.	AM - INSTITUTIONAL SALES TRADER & DEALER	SAM - INSTITUTIONAL SALES TRADER & DEALER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	HIPOLITO	RONNIE M.	AM- COMPLIANCE OFFICER/AP/RO	SAM- COMPLIANCE OFFICER/AP/RO	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	JAVATE	JED C.	FO - DISBURSEMENT CLERK	JAM - DISBURSEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	TOLENTINO	JESSA LOU M.	COMPLIANCE SPECIALIST / RISK ANALYST	FO - COMPLIANCE SPECIALIST / RISK ANALYST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	TINIMBANG	AYRA KATRINA S.	SAM- RETAIL SALES MARKETING OFFICER	M - RETAIL SALES MARKETING OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	TORRES	ELMER A.	M-EQUITIES TRADER	AVP - EQUITIES TRADER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	CUBACUB	MARIANO G.	INTERNAL AUDITOR	-	RTG & CO., INC.	RETIREMENT
1-Jul-25	DE GUZMAN	LY-R L.	-	MESSENGER	SALISBURY SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-25	LOPEZ	JOSEPH ANTHONY P.	-	CORPORATE SECRETARY	SB EQUITIES, INC.	NEW EMPLOYMENT

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1-Jul-25	LIU	HARRY G.	CHAIRMAN OF THE BOARD AND PRESIDENT	-	SUMMIT SECURITIES, INC.	DECEASED
1-Jul-25	ILAO	MUTYA T.	CLERK	-	TRITON SECURITIES CORPORATION	RESIGNATION (cleared)
1-Jul-25	OMAPAS	JAE SHARLLO S.	ASSOCIATE, IT ASSOCIATE	IT SENIOR ASSOCIATE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jul-25	MORA	RICHELLE F.	-	ASSOCIATED PERSON	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-25	PICARDO	JUDALE B.	CUSTOMER SERVICE ASSISTANT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
30-Jun-25	SANTIAGO	AMANUEL A.	-	INTERN-RESEARCH	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-25	MOSSESGELD	FULGENCIO A.	-	AGENT	ASIASEC EQUITIES, INC.	NEW EMPLOYMENT
30-Jun-25	GUDITO	DAPHNE Y.	RESEARCH ANALYST	SENIOR RESEARCH ANALYST	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-25	RAZ	JOHN MICHAEL M.	HEAD - LUZON	CENTER HEAD	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-25	ESPIRIITU	RUBEN ENRIQUE A.	HEAD-BROKERAGE SALES/ WMG REGIONAL	HEAD, BROKERAGE SALES	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-25	REYES	JOHN TRISTAN GUILLERMO D.	HEAD - INSTITUTIONAL SALES	HEAD - MARKETING & INSTITUTIONAL SALES	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-25	SCHULTZ	ROBERT JAMES	CONSULTANT	MARKETING CONSULTANT	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-25	TIU	JOSHUA NICHOLAS C.	EQUITY RESEARCH ASSOCIATE	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Jun-25	AQUINO	GODOFREDO ABDULAH R.	DIRECTOR FOR BUSINESS AND CORPORATE DEVELOPMENT AND STRATEGY	-	PHILIPPINE EQUITY PARTNERS, INC.	RESIGNATION (clearance under process)

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30-Jun-25	ABADA	MARK Z.	EQUITY SALES	-	PHILIPPINE EQUITY PARTNERS, INC.	RETIREMENT
30-Jun-25	REYES	ANGELITO P.	HEAD DEALER	-	PHILIPPINE EQUITY PARTNERS, INC.	RETIREMENT
30-Jun-25	AQUINO	GODOFREDO ABDULAH R.	DIRECTOR FOR BUSINESS AND CORPORATE DEVELOPMENT AND STRATEGY	-	PHILIPPINE EQUITY PARTNERS, INC.	UPDATE: RESIGNATION (cleared)
30-Jun-25	MENTA	DARYLL M.	EQUITIES DEALER	-	REGIS PARTNERS, INC.	RESIGNATION (clearance under process)
30-Jun-25	AYSON	MARY GRACE S.	CORPORATE SECRETARY	-	SB EQUITIES, INC.	RESIGNATION (no clearance required)
28-Jun-25	REGIO	CARMI F.	ADMIN ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
28-Jun-25	REGIO	CAMI F.	ADMIN ASSOCIATE	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
27-Jun-25	JUAT	BRIAN GABRIEL B.	PRODUCT OFFICER	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
26-Jun-25	CASTRO	EVELYN JULITA G.	-	RELIEVER	RTG & CO., INC.	NEW EMPLOYMENT
25-Jun-25	GO	JARRED JOHANNSEN S.	ASSISTANT VICE PRESIDENT-RESEARCH DEPARTMENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (clearance under process)
25-Jun-25	ALTEA	ROSEMARIE Y.	TRADER, INSTITUTIONAL SALES	HEAD, INSTITUTIONAL SALES	PNB SECURITIES, INC.	RE-DESIGNATION
25-Jun-25	GONZALES	JENNIFER U.	SETTLEMENT SPECIALIST	OIC, SETTLEMENT HEAD	PNB SECURITIES, INC.	RE-DESIGNATION
25-Jun-25	JORGE	CARVIN JUSTINE P.	ACCOUNTING ASSOCIATE	SR. ACCOUNTING ASSOCIATE	PNB SECURITIES, INC.	RE-DESIGNATION
24-Jun-25	ORTEGA	NORIEL S.	SENIOR INVESTMENT ANALYST	-	YU AND COMPANY, INC.	RESIGNATION (no clearance required)

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20-Jun-25	ABOITIZ	LUIS MIGUEL O.	DIRECTOR	CHAIRMAN	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
20-Jun-25	BANGIT	WINSLEY ROYCE F.	-	DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Jun-25	NG	EVELYN C.	-	DIRECTOR	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
20-Jun-25	OLANO	JAN LESTER O.	DIRECTOR	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
20-Jun-25	ZALAMEA	MICHAEL B.	CHAIRMAN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
20-Jun-25	GO	SAMUEL BENJAMIN G.	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
16-Jun-25	ALCAIDE	JINKY B.	-	COMPLIANCE STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jun-25	DAWAL	MARY KATHERIN ANTONETTE V.	-	MARKETING ADMIN STAFF	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
16-Jun-25	GAN	JEREMY C.	SETTLEMENT OFFICER	SETTLEMENT HEAD	DAVID GO SECURITIES CORPORATION	RE-DESIGNATION
16-Jun-25	LARGO	JHON CARL J.	MESSENGER	-	SALISBURY SECURITIES CORPORATION	RESIGNATION (clearance under process)
13-Jun-25	MARQUEZ	JASPER D.	-	SALESMAN (AGENT)	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
10-Jun-25	LIM	ALFRED RAYMOND S.	-	ASSISTANT CORPORATE SECRETARY	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
9-Jun-25	TIMARIO	CARMELA ROSE V.	-	INTERN	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
6-Jun-25	GUARIN	MARKO IÑIGO T.	STUDENT INTERN	-	LUNA SECURITIES, INC.	RESIGNATION (cleared)
31-May-25	YCO	KRISTINE DIANE M.	INSTITUTIONAL EQUITY SALES	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)

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10-May-25	DEL PILAR	JOSHUA MIGUEL M.	RESEARCH ANALYST	-	ABACUS SECURITIES CORPORATION	UPDATE: RESIGNATION (cleared)
2-Jan-25	SANTARINA	MARK CRISMON V.	LICENSED SALESMAN / ONLINE TRADING	-	GLOBALINKS SECURITIES & STOCKS, INC.	RESIGNATION (cleared)